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Date: May 1, 2002

By: Lynn Anderson

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Kim, *et al.*

SERIAL NO.: 09/875,453

FILED: June 6, 2001

FOR: **PROMOTERS FOR REGULATED GENE
EXPRESSION**

EXAMINER:

Sandals

ART UNIT:

1645

CONFIRMATION NO:

7454

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Preliminary Amendment

Box Sequence
Assistant Commissioner for Patents
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Sir:

Prior to examination, Applicants respectfully request entry of the following amendments.

In the specification:

A1 In the specification after page 61, replace the Sequence Listing on pages 1-17 with the substitute Sequence Listing on pages 1-47 enclosed herewith.

On page 7, please replace the paragraph starting on line 5 with the following:

A2 -- Figure 1A presents the sequence of the HBV core promoter (SEQ ID NO:16).--

On page 7, please replace the paragraph starting on line 6 with the following:

A3 -- Figure 1B presents the sequence of the HBV pre-S1 promoter region (SEQ ID NO:22) with the sequences of various DNA response elements (HNF1, HNF3, Sp1 and TBP) indicated as underlined with sequence locations indicated in the figure.--

On page 7, please replace the paragraph starting on line 9 with the following: